



# Induction of IL-6R $\alpha$ by ATF3 enhances IL-6 mediated sorafenib and regorafenib resistance in hepatocellular carcinoma

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## ABSTRACT

Sorafenib and its derivative regorafenib are the first- and second-line targeted drugs for advanced HCC, respectively. Although both drugs improve overall survival, drug resistance remains the major barrier to their full efficacy. Thus, strategies to enhance sorafenib and regorafenib efficacy against HCC are solely needed. Interleukin-6 receptor alpha (IL-6R $\alpha$ ) is the receptor of IL-6, a multi-functional cytokine, which plays key roles in liver-regeneration, inflammation and development of hepatocellular carcinoma (HCC). Here we show the expression of IL-6R $\alpha$  was induced in response to sorafenib. Depletion of IL-6R $\alpha$  abolished IL-6 induced STAT3 phosphorylation at 705th tyrosine and tumor growth of HCC cells under sorafenib treatment. Mechanistically, activating transcription factor 3 (ATF3) was induced in response to sorafenib and subsequently bound to the promoter of IL-6R $\alpha$ , leading to its transcriptional activation. Depletion of ATF3 or its upstream transcription factor, ATF4, attenuated IL-6R $\alpha$  induction and IL-6 mediated sorafenib resistance. The ATF4-ATF3-IL-6R $\alpha$  cascade is also activated by regorafenib. Furthermore, blockade of IL-6R $\alpha$  with the FDA approved IL-6R $\alpha$  antibody drug, Sarilumab, drastically attenuated both sorafenib and regorafenib resistance in patient-derived xenograft (PDX) tumors, where human IL-6 could be detected by a novel *in situ* hybridization technique, named RNAscope. Together, our data reveal that ATF3-mediated IL-6R $\alpha$  up-regulation promotes both sorafenib and regorafenib resistance in HCC, and targeting IL-6R $\alpha$  represents a novel therapeutic strategy to enhance sorafenib/regorafenib efficacy for advanced HCC treatment.

## 1. Introduction

Hepatocellular carcinoma (HCC) is the fourth major cause of cancer-related deaths worldwide and ranks second in terms of male death rates [1]. Half of HCC patients are diagnosed at an advanced stage where liver resection and transplantation are not feasible [2]. Due to the complex etiology and heterogeneity of HCC, targeted drugs for advanced HCC remain severely limited [3]. From 2007 to 2016, sorafenib, a multi-kinase inhibitor, was the only systemic drug with proven efficacy against advanced HCC [4]. Its derivative, regorafenib, was approved in

2017 as a second-line drug for advanced HCC patients who tolerated sorafenib treatment [5]. However, the overall survival benefits of sorafenib and regorafenib in HCC patients are still limited due to the existence of drug resistance [6,7]. Strategies to overcome drug resistance in HCC are sorely needed.

Interleukin-6 (IL-6) is a potent hepatocyte mitogen and proinflammatory cytokine that promotes liver regeneration and HCC progression [8,9]. Serum IL-6 levels are statistically higher in patients with HCC than in healthy people [10] and increased serum IL-6 levels are associated with an increased risk of HCC [11]; in contrast, IL-6-deficient mice have a lower incidence of DEN-induced HCC [12]. Recently, the

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**Abbreviations**

<b>HCC</b>	hepatocellular carcinoma
<b>DEN</b>	diethylnitrosamine
<b>CDX</b>	cell line derived xenograft
<b>PDX</b>	patient-derived xenograft
<b>IL-6</b>	interleukin-6
<b>IL-6R<math>\alpha</math></b>	interleukin-6 receptor alpha
<b>mIL-6R<math>\alpha</math></b>	membrane bound interleukin-6 receptor alpha
<b>sIL-6R<math>\alpha</math></b>	soluble form of interleukin-6 receptor alpha
<b>ATF3</b>	activating transcription factor 3
<b>ATF4</b>	activating transcription factor 4
<b>JAK</b>	Janus kinase
<b>STAT3</b>	signal transducer and activator of transcription 3
<b>ChIP</b>	chromatin immunoprecipitation

<b>sgRNA</b>	single guide RNA
<b>WT</b>	wild-type
<b>KO</b>	knockout
<b>KD</b>	knockdown
<b>Sora</b>	sorafenib
<b>Rego</b>	regorafenib
<b>Sari</b>	Sarilumab
<b>Toci</b>	Tocilizumab
<b>qRT-PCR</b>	quantitative real-time PCR
<b>bp</b>	base pairs
<b>ELISA</b>	enzyme linked immunosorbent assay
<b>IHC</b>	immunohistochemistry
<b><math>\alpha</math>-SMA</b>	$\alpha$ -smooth muscle actin
<b>PERK</b>	PKR-Like endoplasmic reticulum kinase

role of IL-6 in chemotherapeutic resistance has attracted more and more attention. IL-6 has been shown to augment chemotherapeutic resistance in lung cancer, gastric cancer and neuroblastoma [13–15]. Likewise, decreased IL-6 induces sensitivity of HCC to sorafenib [16]. Moreover, high plasma IL-6 levels are also associated with poor prognosis of sorafenib in patients with advanced HCC [17].

IL-6R $\alpha$  (also known as gp80, CD126) is the receptor of IL-6 [18]. Interaction of IL-6 and IL-6R $\alpha$  recruits the membrane-bound IL-6R beta (also known as gp130, IL-6ST), leading to the activation of intracellular signaling pathways, such as JAK/STAT3 [19], which facilitates tumor survival, proliferation and cancer stemness, favoring drug resistance and metastasis in several human cancers [20,21]. IL-6R $\alpha$  exists not only as a membrane bound form (mIL-6R $\alpha$ ), but also as a soluble form (sIL-6R $\alpha$ ) [19]. The sIL-6R $\alpha$  could be a product of alternative splicing variant of IL-6R $\alpha$  gene, or a proteolytically cleaved product of mIL-6R $\alpha$  [22,23]. Either mIL-6R $\alpha$  or sIL-6R $\alpha$  can bind to IL-6 and recruit gp130 to mediate signal transduction through classical- and *trans*-signaling pathways, respectively [24]. Combined IL-6 and sIL-6R $\alpha$  accelerates liver regeneration [25]; while blockade of IL-6 *trans*-signaling suppresses HCC development in mice [26], corroborating the pivotal role of IL-6/IL-6R $\alpha$  signaling in HCC development. However, the expression and role of IL-6R $\alpha$  in drug resistance of HCC are still largely unknown.

In this study, we uncovered that IL-6R $\alpha$  was induced by activating transcription factor 4 (ATF4)-ATF3 cascade in response to sorafenib or regorafenib treatment. Importantly, genetic depletion or antibody-based inhibition of IL-6R $\alpha$  attenuated IL-6 mediated sorafenib and regorafenib resistance both *ex vivo* and *in vivo*. Our findings provide not only the rationale but also the first preclinical evidence that blockade of IL-6R $\alpha$  potentially improves sorafenib and regorafenib efficacy in HCC treatment.

## 2. Materials and methods

### 2.1. Antibodies and reagents

Sorafenib (#HY-10201) and regorafenib (#HY-10331) were purchased from MedchemExpress (Shanghai, China). An anti-ATF4 (#10835-1-AP) antibody was purchased from Proteintech (Wuhan, China). Anti-ATF3 (#HPA001562) and anti-Flag (#F1804) antibodies were purchased from Sigma (St. Louis, MO). Anti-eIF2 $\alpha$  (#5324), anti-phospho-eIF2 $\alpha$  (Ser51) (#3398), anti-STAT3 (#9139), anti-PERK (#3192) and anti-phospho-STAT3 (Tyr705) (#9145) antibodies were from Cell Signaling (Danvers, MA). An anti- $\alpha$ -SMA (ab230458) antibody was from Abcam. Anti-GAPDH (#HC301), anti- $\beta$ -tubulin (#HC101) and anti- $\beta$ -Actin (#HC201) antibodies were obtained from Transgen (Beijing, China). The ELISA kit for IL-6R $\alpha$  was purchased from Proteintech (#KE00118) (Wuhan, China). Protease inhibitor cocktail (#HY-K0011), GSK2606414 (#HY-18072) and phosphatase inhibitors (#HY-K0022)

were obtained from MedchemExpress (Shanghai, China). Tocilizumab (#A2012) and Sarilumab (#A2011) were from SelleckChem. IL-6 (#206-IL) was purchased from R&D Systems. Primers for quantitative real-time PCR, RNA interference and sgRNAs are listed in the Supplementary Information.

### 2.2. ELISA measurement

Cells were treated with drugs for 36 h. The soluble form of IL-6R $\alpha$  in cell culture supernatants was measured with a human IL-6R $\alpha$  ELISA kit according to the manufacturer's instructions. Plates were measured on a microplate reader (Thermo Scientific Multiskan GO) at a wavelength of 450 nm.

### 2.3. Animal models

All animal protocols were approved by the Experimental Animal Ethics Committee of Fujian Medical University according to the Association for Assessment and Accreditation of Laboratory Animal Care International Regulations. BALB/c nude mice were maintained in the specific-pathogen-free (SPF) Laboratory Animal Center of Fujian Medical University. For the patient-derived tumor xenograft (PDX) model, the patient samples derived from a woman aged 67 with HBV positive and low-grade HCC. Patient tumors were cut into similar sizes (2 mm  $\times$  2 mm) and inoculated into the right flank of 5-week-old male BALB/c nude mice. For cell line derived xenograft (CDX) tumor models,  $1 \times 10^6$  HCC cell lines (Huh7 or IL-6R $\alpha$ -KO Huh7) were resuspended in 100  $\mu$ L PBS and subcutaneously injected into right flank of 6 weeks old male BALB/c nude mice. Tumor volume was calculated using the following formula: volume (mm<sup>3</sup>) = length  $\times$  width  $\times$  width  $\times$  0.5. Drug administration began when the length of tumors reached  $\sim$ 5 mm and the mice were randomized for treatment as indicated. The protocol has been approved by the Ethical Committee of Fujian Medical University. And the patient provided informed consent.

### 2.4. RNAscope assay

RNA *in situ* hybridization for human IL-6 mRNA was performed using an RNAscope® 2.5 HD Reagent Kit-BROWN (Advanced Cell Diagnostics, 322300) according to the manufacturer's instructions. In brief, 5- $\mu$ m-thick formalin-fixed, paraffin-embedded tissue sections were deparaffinized and pre-treated with heat and protease before hybridization with the human IL-6 oligonucleotide probes (Advanced Cell Diagnostics, 310371). The signal is amplified using a multi-step process, followed by hybridization to horseradish peroxidase (HRP)-labeled probes and detection using a chromogenic substrate. The RNA integrity quality was controlled with an RNAscope® positive Control Probe-Hs-PP1B

(Advanced Cell Diagnostics, 313901), and for the background with an RNAscope® negative Control Probe-dapB (Advanced Cell Diagnostics, 310043).

## 2.5. Statistical analysis

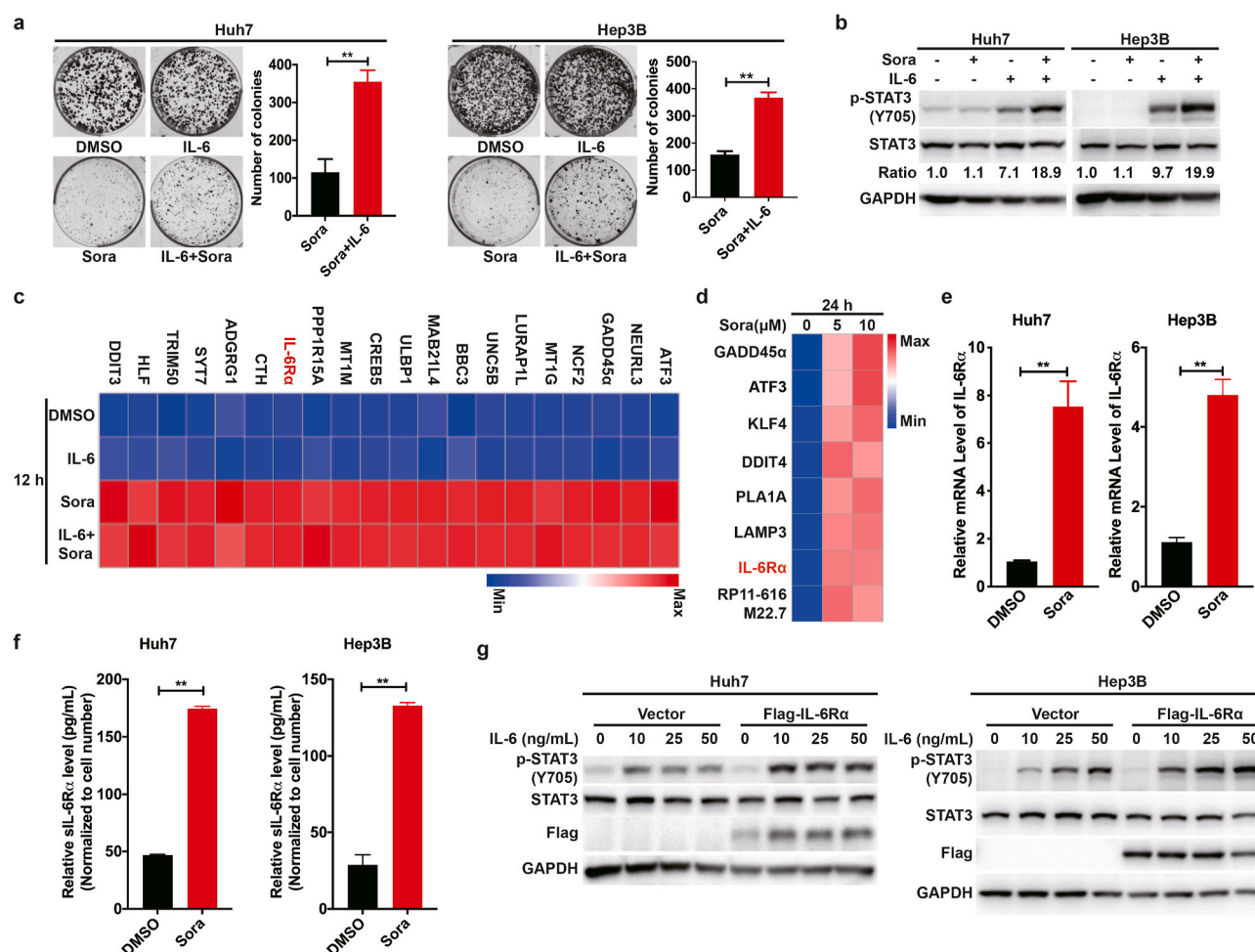
The data are expressed as the means  $\pm$  (standard error of the mean) of more than three independent experiments. Unpaired Student's *t* tests were used to compare the means of two groups. *P* < 0.05 was considered statistically significant.

## 3. Results

### 3.1. IL-6 $\alpha$ is induced in response to sorafenib treatment

Consistent with the previous finding that IL-6 is a potent mitogen for hepatocytes and HCC cells [8], IL-6 enhanced the colony formation of HCC cells in the presence of sorafenib (Fig. 1a), supporting the notion that IL-6 mediates sorafenib resistance in HCC. Interestingly, co-treatment of 5  $\mu$ M sorafenib for 24 h dramatically increased IL-6

induced STAT3 phosphorylation at tyrosine-705, indicating IL-6 signaling was enhanced in the presence of sorafenib treatment (Fig. 1b). To investigate the underlying mechanism, we performed transcriptome sequencing after human HCC Huh7 cells were treated with 5  $\mu$ M sorafenib, 10 ng/mL IL-6 or their combination for 12 h. Intriguingly, IL-6R $\alpha$ , the receptor of IL-6, was dramatically induced by sorafenib (Fig. 1c). To confirm this phenomenon, a second transcriptome sequencing analysis was performed in Huh7 cells treated with 5  $\mu$ M or 10  $\mu$ M sorafenib for 24 h. Using a fold change cut-off of >8 and basal FPKM value in untreated cells cut-off of >1, 8 genes including IL-6R $\alpha$  were found to be highly induced in response to sorafenib treatment (Fig. 1d). Quantitative real-time PCR (qRT-PCR) and ELISA analysis verified IL-6R $\alpha$  up-regulation by sorafenib in HCC cells (Fig. 1e and f). The role of IL-6R $\alpha$  is to mediate IL-6 signaling. Indeed, IL-6R $\alpha$  over-expression enhanced IL-6-induced STAT3 activation, as detected by STAT3 phosphorylation at tyrosine-705 (Fig. 1g), as shown previously [27]. Together, these data suggest that it might be IL-6R $\alpha$  induction that underlies the mechanism by which short-term sorafenib treatment synergistically enhances IL-6 induced STAT3 activation.



**Fig. 1.** Sorafenib induces IL-6R $\alpha$  expression in HCC cells. (a) Human HCC Huh7 and Hep3B cells were treated with DMSO, 5  $\mu$ M sorafenib, 100 ng/mL IL-6 or their combination. Colony formation assays were performed (left). Quantification of sorafenib and sorafenib + IL-6 clones was performed using Image-Pro Plus software (right). (b) Huh7 and Hep3B cells were treated with 5  $\mu$ M sorafenib, 10 ng/mL IL-6 or their combination for 24 h. Phosphorylation of STAT3 at tyrosine-705 was determined by immunoblotting. (c) Huh7 cells treated with DMSO, 5  $\mu$ M sorafenib, 10 ng/mL IL-6 or their combination for 12 h were subjected to transcriptomic analysis using 2nd generation RNA sequencing technique. Using a fold change (the ratio of FPKM value of sorafenib group to DMSO group) cut-off of >4 and basal FPKM value in untreated cells cut-off of >1, the top 20 genes were listed. (d) Huh7 cells treated with DMSO, 5  $\mu$ M or 10  $\mu$ M sorafenib for 24 h were subjected to transcriptomic analysis using 2nd generation RNA sequencing technique. (e,f) Huh7 and Hep3B cells were treated with 5  $\mu$ M sorafenib and subjected to quantitative real-time PCR (e) and ELISA (f) analysis for IL-6R $\alpha$ . The mRNA levels of IL-6R $\alpha$  were normalized to those of GAPDH. (g) Huh7 and Hep3B cells were infected with lentiviruses expressing Flag-IL-6R $\alpha$  and treated with increasing doses of IL-6 for 24 h. STAT3 phosphorylation at tyrosine-705 was determined by immunoblotting. The data are presented as the means  $\pm$  SEM (\*: *P* < 0.05; \*\*: *P* < 0.01; *n* = 3).



### 3.2. IL-6R $\alpha$ is essential for IL-6 mediated sorafenib resistance in HCC

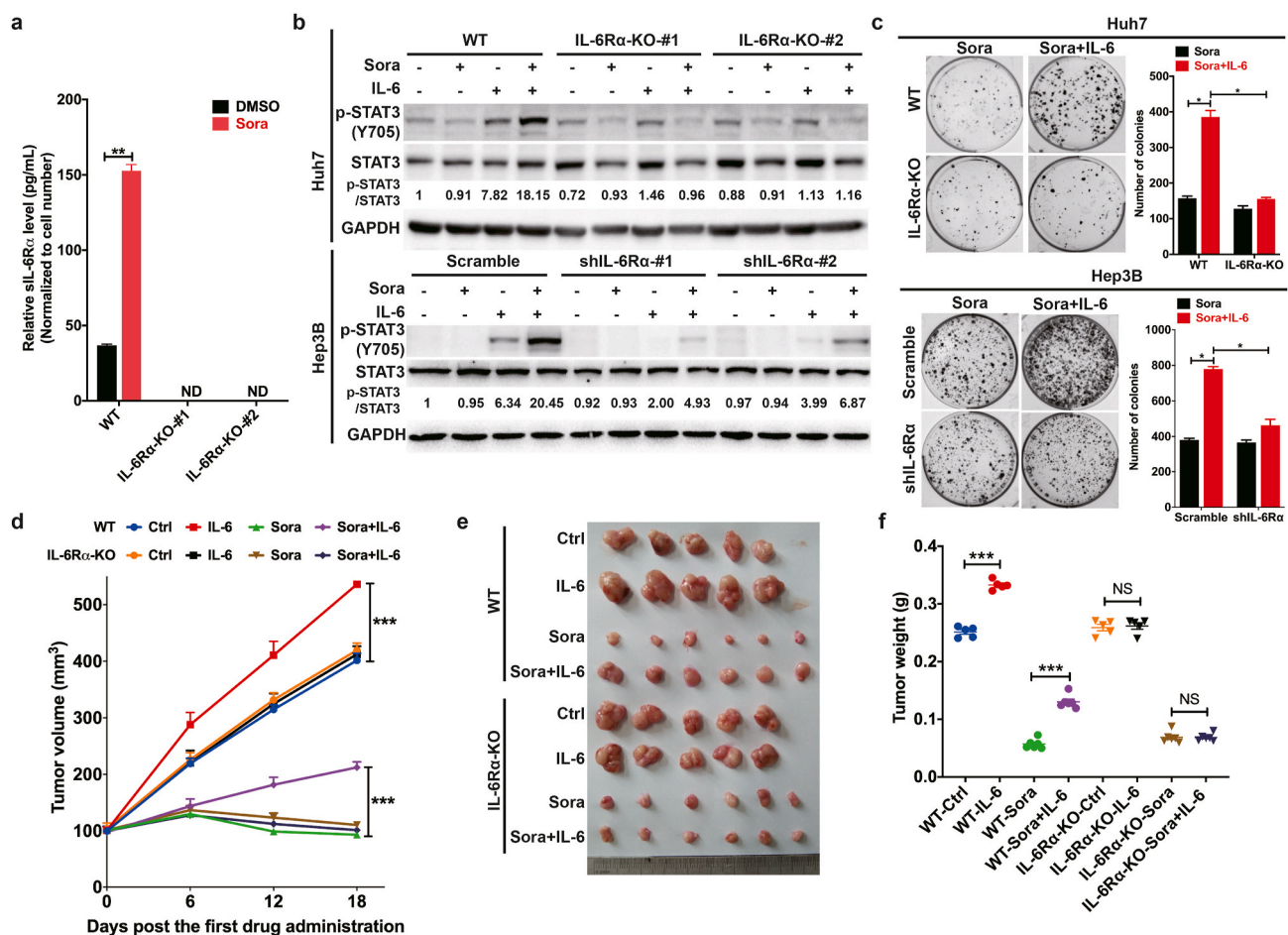
Recently, another glycoprotein, CD5, was found to mediate IL-6 signaling in the absence of IL-6R $\alpha$  [28]. Thus, it would be necessary to investigate whether IL-6R $\alpha$  is essential for IL-6 mediated STAT3 activation and sorafenib resistance in HCC. We used CRISPR/Cas9 gene editing technique to generate IL-6R $\alpha$  depleted HCC cells, which were confirmed by ELISA analysis (Fig. 2a). Both IL-6R $\alpha$  knockout in Huh7 and IL-6R $\alpha$  knockdown in Hep3B cells abolished the synergistic effect of sorafenib on IL-6 induced STAT3 activation (Fig. 2b). Colony formation assay also showed that IL-6R $\alpha$  depletion largely attenuated IL-6 mediated sorafenib resistance *ex vivo* (Fig. 2c). To further substantiate these findings, we performed *in vivo* experiments using cell line derived xenograft (CDX) mice model. Intraperitoneal injection of human IL-6 enhanced tumor growth either in the presence or absence of sorafenib treatment, which was abolished by IL-6R $\alpha$  depletion (Fig. 2d–2f). Thus, IL-6R $\alpha$  is the only biological relevant receptor for IL-6 in HCC, and is essential for IL-6 mediated sorafenib resistance in HCC.

### 3.3. ATF3 binds to the IL-6R $\alpha$ promoter and is involved in IL-6R $\alpha$ induction

To investigate the mechanism underlying IL-6R $\alpha$  induction, luciferase assays were performed to screen transcription factors of IL-6R $\alpha$  in

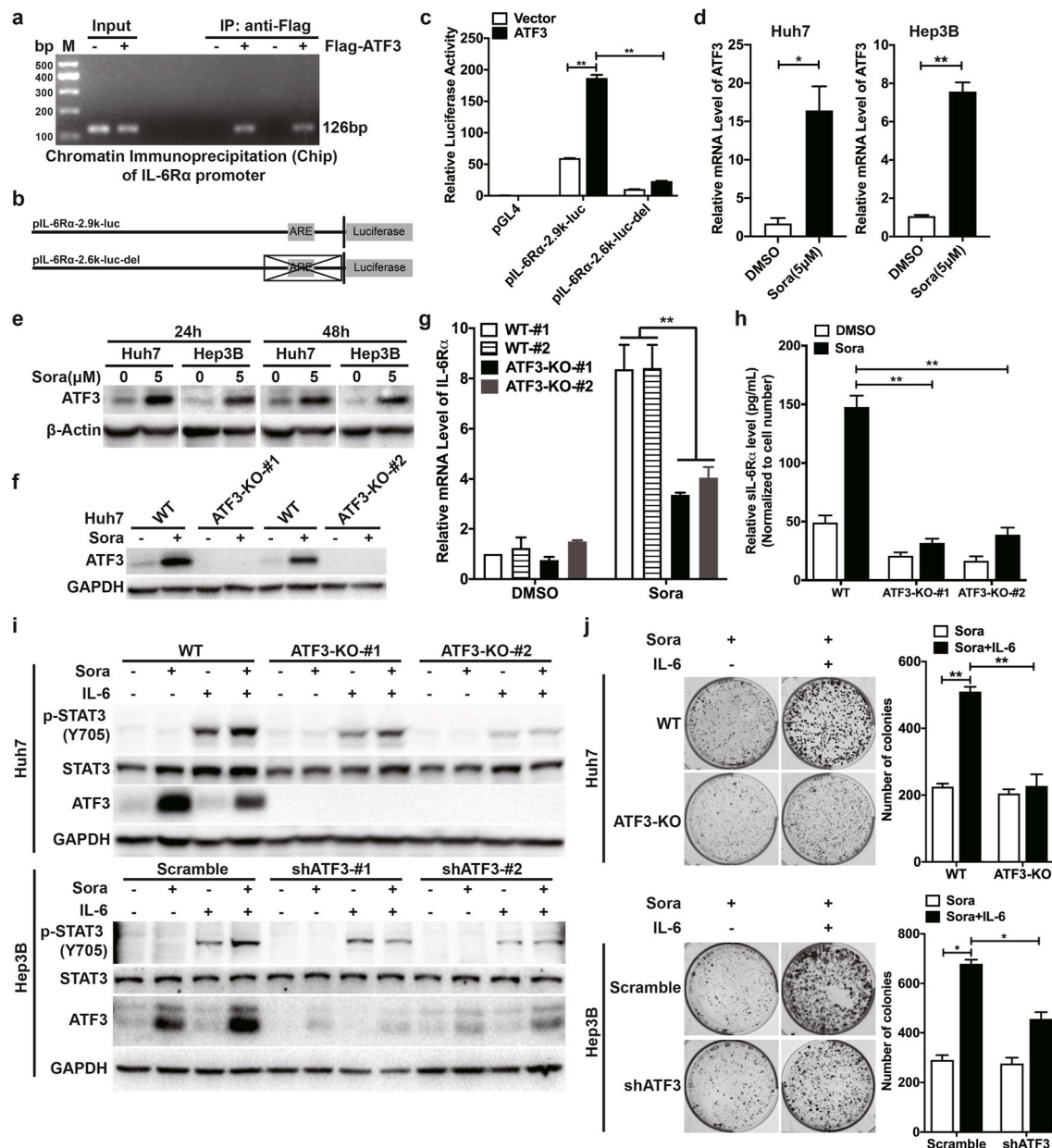
response to sorafenib treatment. Ten transcription factors were selected based on the preconditions that these genes were also induced by sorafenib and had binding sites on IL-6R $\alpha$  promoter. Interestingly, ATF3 was shown to be the most active transcription factor for IL-6R $\alpha$  (Supplementary Figs. S1a and S1b). Using the eukaryotic promoter database (EPD) from the Swiss Institute of Bioinformatics [29], an ATF3 binding site at –82 ~ –88 base pairs upstream of the transcriptional start site (TSS) was identified in the IL-6R $\alpha$  promoter (Supplementary Fig. S1c).

ATF3 binding to this putative promoter region of the IL-6R $\alpha$  gene was confirmed by chromatin immunoprecipitation (ChIP) assay (Fig. 3a). Deletion of ATF3 binding site largely attenuated the effect of ATF3 on IL-6R $\alpha$  promoter activity (Fig. 3b and c). These data indicated that ATF3 induces IL-6R $\alpha$  expression likely via binding to the IL-6R $\alpha$  promoter. Consistent with the transcriptome sequencing analysis in Fig. 1c and d, sorafenib induced both mRNA and protein expression of ATF3 in both Huh7 and Hep3B cells (Fig. 3d and e). In order to investigate whether ATF3 was involved in sorafenib induced IL-6R $\alpha$  expression, ATF3-KO Huh7 cells were generated (Fig. 3f). Quantitative real-time PCR analysis in ATF3 depleted Huh7 and their controlled cells treated with or without sorafenib revealed that IL-6R $\alpha$  mRNA up-regulation was largely suppressed in ATF3-KO Huh7 cell lines (Fig. 3g). ELISA assay also demonstrated that sorafenib induced IL-6R $\alpha$  in an ATF3 dependent manner (Fig. 3h). Moreover, ATF3 depletion attenuated IL-6 induced STAT3 activation and colony formation in the



**Fig. 2.** IL-6R $\alpha$  is essential for IL-6 mediated sorafenib resistance. (a) Wild-type or IL-6R $\alpha$ -depleted Huh7 cells were treated with or without 5  $\mu$ M sorafenib for 36 h. The expression levels of sIL-6R $\alpha$  were determined by ELISA. (b) Wild-type and IL-6R $\alpha$  depleted HCC cells were treated as indicated. STAT3 phosphorylation levels at tyrosine-705 and the expression levels of STAT3 were determined by immunoblotting. (c) Colony formation assays were performed in wild-type and IL-6R $\alpha$  depleted HCC cells treated with 5  $\mu$ M sorafenib or combination of 5  $\mu$ M sorafenib and 100 ng/mL IL-6. (d ~ f)  $1 \times 10^6$  wild-type or IL-6R $\alpha$ -KO Huh7 cells were inoculated into the right flank of nude mice. When the tumors reached 100 mm<sup>3</sup>, the mice were grouped randomly and treated with sorafenib (i.g., 15 mg/kg) with or without IL-6 (i.p., 200  $\mu$ g/kg) every 3 days. Tumor volumes were monitored every 6 days (d). 18 days later, tumors were harvested (e) and weighed (f). The data are presented as the means  $\pm$  SEM (\*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ; \*\*\*:  $P < 0.001$ ;  $n \geq 3$ ). i.g.: intragastric administration; i.p.: intraperitoneal injection.





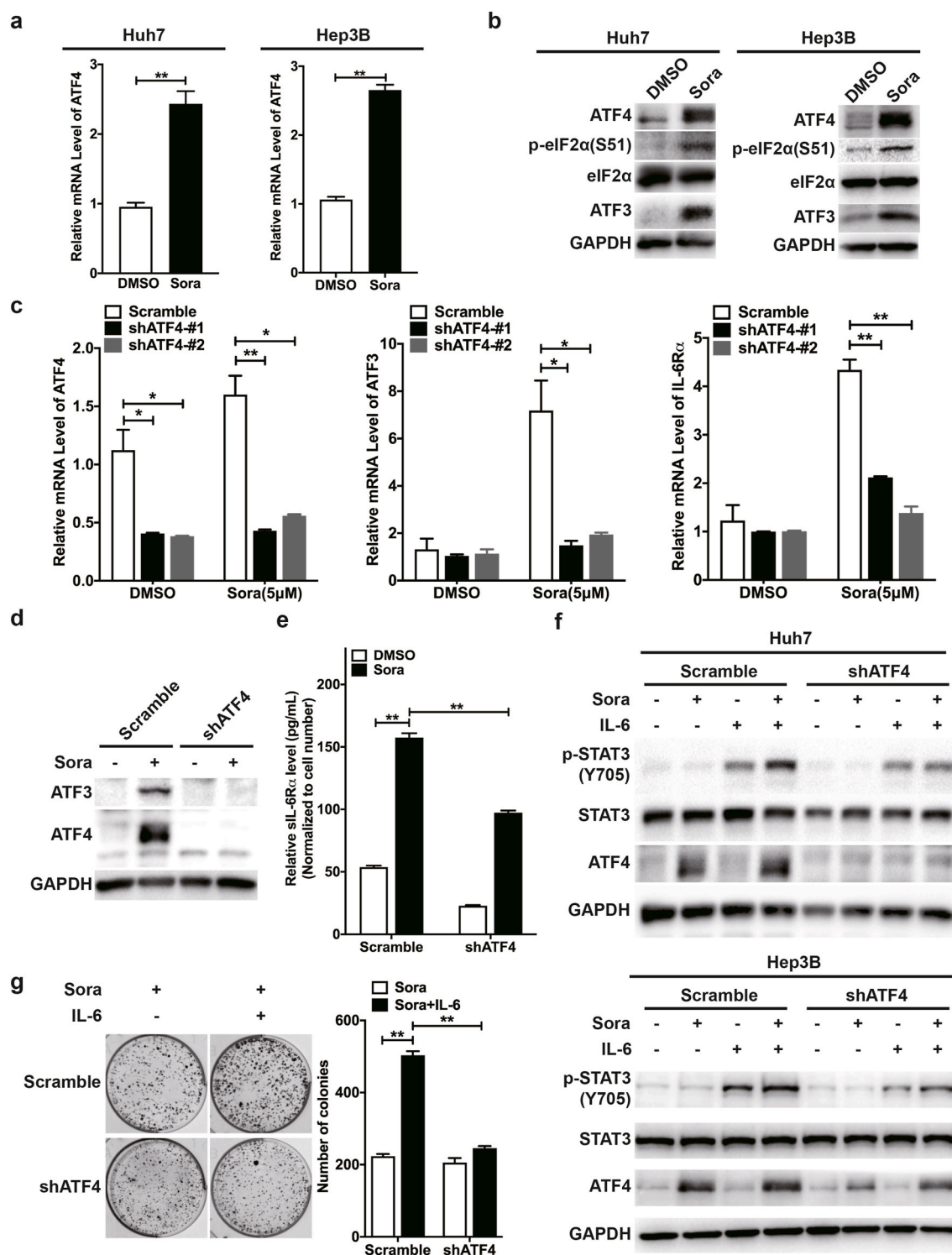
**Fig. 3.** ATF3 binds to IL-6Rα gene promoter and is essential for IL-6Rα up-regulation by sorafenib in HCC cells. (a) Huh7 cells were infected with a lentivirus expressing Flag-ATF3 and subjected to chromatin immunoprecipitation assay. (b) Schematic representation of the IL-6Rα promoters cloned into the pGL4 vector. (c) ATF3 expression plasmid was co-transfected with pGL4, pIL-6Rα-2.9k-Luc or pIL-6Rα-2.6k-Luc-del into Hela cells. Luciferase assays were performed. (d) Huh7 and Hep3B cells were treated with 5 μM sorafenib for 24 h and subjected to quantitative real-time PCR analysis for ATF3. (e) Hep3B and Huh7 cells were treated with or without 5 μM sorafenib for 24 or 48 h. The expression of ATF3 was determined by immunoblotting. (f) ATF3 was knocked out in Huh7 cells using the CRISPR/Cas9 system. ATF3 protein expression was determined by immunoblotting. (g) Quantitative real-time PCR analysis was performed in wild-type and ATF3-KO Huh7 cells treated with or without sorafenib. The mRNA levels of IL-6Rα were normalized to those of GAPDH. (h) Soluble form of IL-6Rα expression levels were determined by ELISA. (i) Wild-type or ATF3 depleted HCC cells were treated as indicated. STAT3 phosphorylation levels at tyrosine-705, and the expression levels of STAT3 or ATF3 were determined by immunoblotting. (j) Colony formation assays were performed in wild-type or ATF3 depleted HCC cells treated with 5 μM sorafenib or combination of 5 μM sorafenib and 100 ng/mL IL-6. The data are presented as the means ± SEM (\*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ;  $n = 3$ ).

presence of sorafenib treatment (Fig. 3i and j). Together, these data suggest that ATF3 binds to the promoter of IL-6Rα and is involved in IL-6Rα induction in response to sorafenib treatment.

### 3.4. ATF4 is required for ATF3 and IL-6Rα induction by sorafenib

ATF4, a well-known transcription factor of ATF3 and a key regulator of integrated stress response and endoplasmic reticulum stress response, has been shown to be activated by sorafenib [30,31]. Indeed, sorafenib treatment increased ATF4 mRNA expression, as detected by qRT-PCR

analysis (Fig. 4a), as well as ATF4 protein levels, as detected by Western blotting analysis (Fig. 4b). Notably, the induction of ATF4 largely depends on inactivation of eIF2α [32], and sorafenib has been shown to inactivate eIF2α through activating PKR-Like endoplasmic reticulum kinase (PERK) [33], an eIF2α kinase. These elegant works suggest that sorafenib might activate ATF4 through PERK-eIF2α pathway. To verify this hypothesis, we investigated whether PERK was required for ATF4 induction by sorafenib. Indeed, either inhibition or genetic ablation of PERK largely suppressed ATF4 induction by sorafenib (Supplementary Fig. S2). These data indicate that sorafenib induces ATF4 through



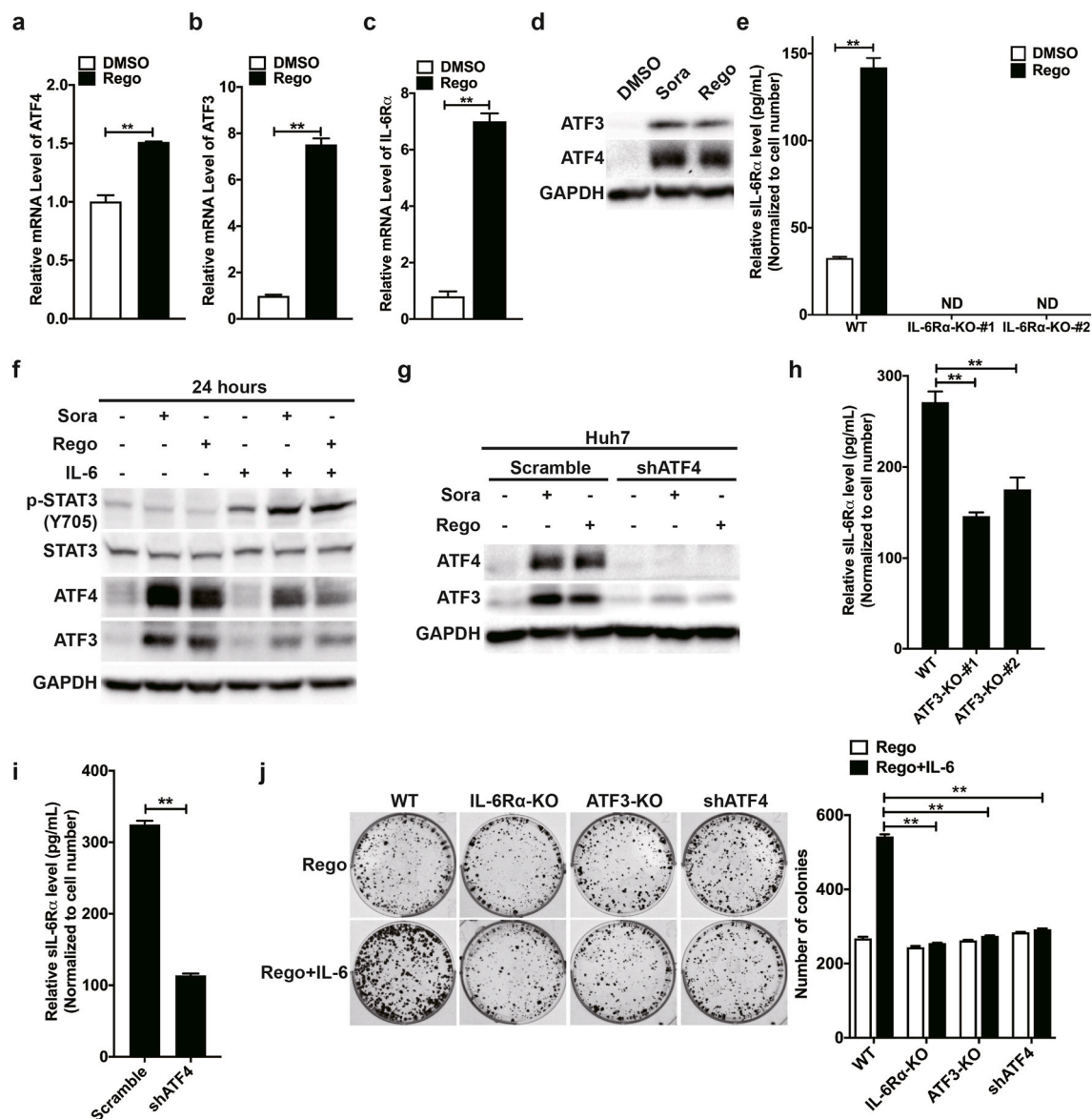
**Fig. 4.** ATF4 is required for ATF3 and IL-6Rα induction by sorafenib. (a) ATF4 mRNA levels were analyzed by qRT-PCR in Huh7 and Hep3B cells treated with 5 μM sorafenib for 24 h. (b) Phosphorylation of eIF2α at serine-51, and the expression of ATF3, eIF2α and ATF4 were determined by immunoblotting. (c ~ e) Huh7 cells were infected with lentiviruses expressing shRNAs targeting ATF4 and subjected to 5 μM sorafenib treatment for 24 h. ATF4 (left panel), ATF3 (middle panel) and IL-6Rα (right panel) mRNA levels were determined by qRT-PCR (c). ATF4 and ATF3 expression were determined by immunoblotting (d). IL-6Rα expression levels were determined by ELISA assay (e). (f) ATF4 knockdown Huh7, Hep3B and their controlled cells were treated as indicated for 24 h. STAT3 phosphorylation levels at tyrosine-705, and the expression levels of STAT3 and ATF4 were determined by immunoblotting. (g) Colony formation assays were performed in ATF4 depleted Huh7 cells and their parental cells. The mRNA levels of ATF4, ATF3 or IL-6Rα were normalized to GAPDH. The data are presented as the means ± SEM (\*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ;  $n = 3$ ).

PERK-eIF2 $\alpha$  pathway. Furthermore, ATF4 knockdown largely attenuated the induction of ATF3 and IL-6R $\alpha$ , both on mRNA and protein expression levels (Fig. 4c–4e). ATF4 depletion also attenuated IL-6 induced STAT3 activation and colony formation under sorafenib treatment (Fig. 4f and g). These data demonstrate that ATF4 activation is required for ATF3 and IL-6R $\alpha$  induction by sorafenib in HCC cells.

### 3.5. The ATF4-ATF3-IL-6R $\alpha$ cascade is also activated in HCC cells following regorafenib treatment

Due to the structural similarity between sorafenib and regorafenib, we investigated whether regorafenib treatment also led to activation of the ATF4-ATF3-IL-6R $\alpha$  cascade. Indeed, regorafenib up-regulated the mRNA expression of ATF4, ATF3 and IL-6R $\alpha$  in Huh7 cells (Fig. 5a–5c).

The protein levels of either ATF4 or ATF3 were induced in a similar pattern by sorafenib and regorafenib (Fig. 5d). ELISA assays also showed regorafenib up-regulated the protein levels of IL-6R $\alpha$  (Fig. 5e). Consistently, co-treatment of regorafenib for 24 h also enhanced IL-6 induced STAT3 activation, compared with IL-6 treatment alone (Fig. 5f). ATF4 depletion abolished ATF3 induction (Fig. 5g), while ATF3 or ATF4 depletion attenuated IL-6R $\alpha$  induction by regorafenib (Fig. 5h and i). Furthermore, depletion of IL-6R $\alpha$ , ATF3 or ATF4 also attenuated IL-6 induced colony formation capabilities of Huh7 cells in the presence of regorafenib treatment (Fig. 5j). Taken together, these data demonstrate that the ATF4-ATF3-IL-6R $\alpha$  cascade is also activated by regorafenib.



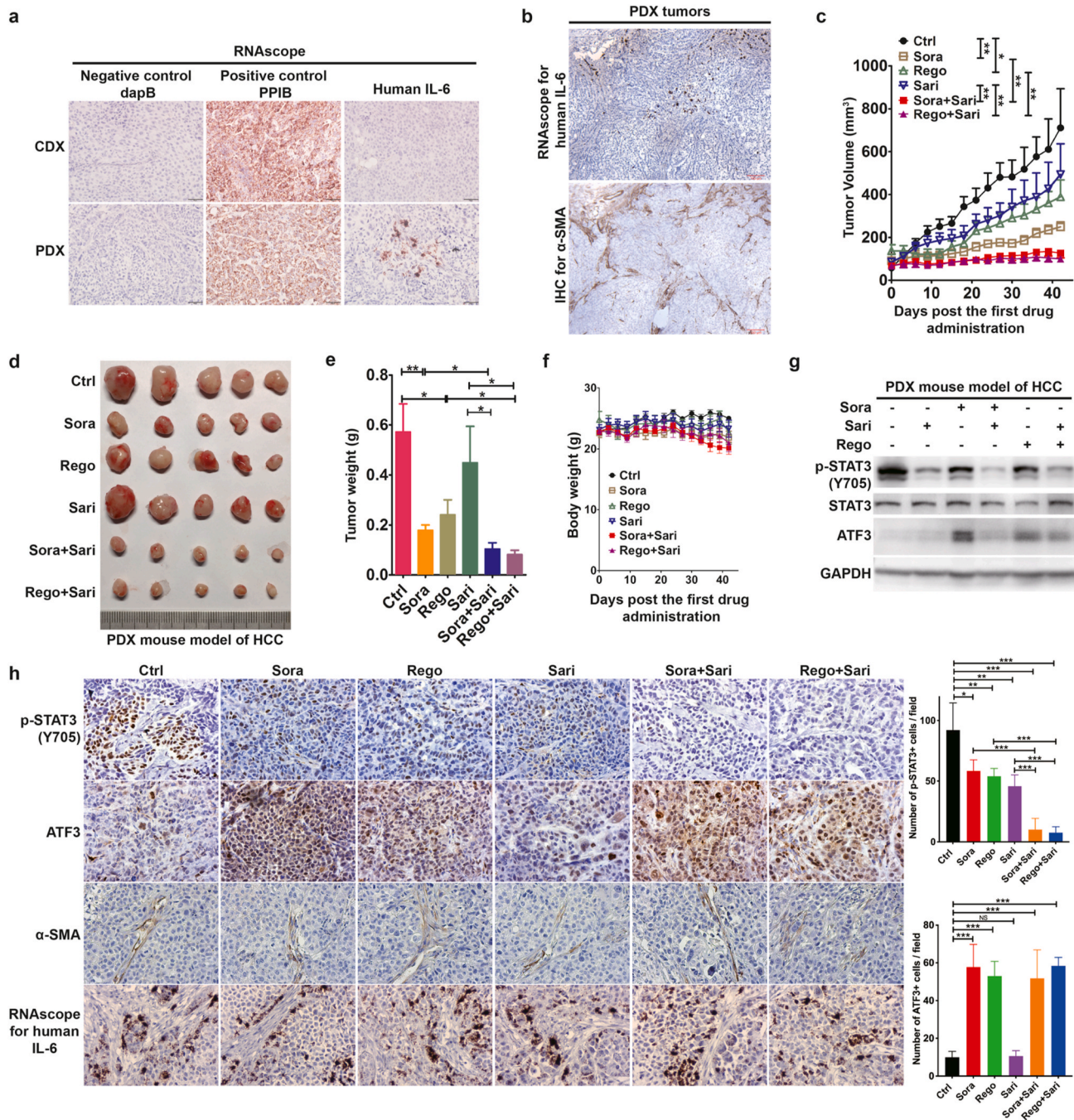
**Fig. 5.** The ATF4-ATF3-IL-6R $\alpha$  cascade is also activated by regorafenib. (a, b, c) Huh7 cells were treated with 5  $\mu$ M regorafenib for 24 h and then subjected to quantitative real-time PCR analysis for ATF4 (a), ATF3 (b) or IL-6R $\alpha$  (c). The mRNA levels of ATF4, ATF3 and IL-6R $\alpha$  were normalized to those of GAPDH. (d) ATF3 and ATF4 expression levels were determined by immunoblotting. (e) IL-6R $\alpha$  expression levels were determined by ELISA assay. (f) Huh7 cells were treated with 5  $\mu$ M sorafenib, 5  $\mu$ M regorafenib or 10 ng/mL IL-6 as indicated for 24 h. STAT3 phosphorylation at tyrosine-705, and the expression levels of ATF4, ATF3 and STAT3 were determined by immunoblotting. (g) Scramble and shATF4 Huh7 cells were treated with 5  $\mu$ M sorafenib or regorafenib for 24 h. ATF4 and ATF3 expressions were determined by immunoblotting. (h, i) Wild-type, ATF3 (h) or ATF4 (i) depleted Huh7 cells were treated with 5  $\mu$ M regorafenib for 36 h. IL-6R $\alpha$  expression levels were determined by ELISA. (j) Colony formation assays were performed in wild-type, IL-6R $\alpha$ , ATF3 or ATF4 depleted Huh7 cells. The data are presented as the means  $\pm$  SEM (\*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ;  $n = 3$ ).



### 3.6. Blockade of IL-6R $\alpha$ sensitizes HCC to sorafenib and regorafenib both *ex vivo* and *in vivo*

The above results showing that IL-6R $\alpha$  induction by sorafenib or regorafenib treatment potentially enhanced IL-6 mediated drug resistance suggest that IL-6R $\alpha$  may be a promising anticancer drug target for

overcoming sorafenib and regorafenib resistance in HCC. Notably, IL-6R $\alpha$  monoclonal antibodies against IL-6R $\alpha$  (Tocilizumab and Sarilumab) have been approved by the FDA to treat human patients with autoimmune diseases, such as rheumatoid arthritis (RA) [34,35]. Thus, we next examined the effect of IL-6R $\alpha$  antibodies on the efficacy of sorafenib and regorafenib in HCC. We first evaluated the inhibitory effects of



**Fig. 6.** Blockade of IL-6R $\alpha$  sensitizes HCC to sorafenib or regorafenib in PDX model. (a) *In situ* hybridization of human IL-6 in CDX and PDX tumors using RNA scope assays (Bars = 50  $\mu$ m). (b) *In situ* hybridization of human IL-6 mRNA and immunohistochemistry analysis of  $\alpha$ -SMA in matched serial sections of PDX tumors (Bars = 100  $\mu$ m). (c) Patient-derived HCC tumors were inoculated in the flank of nude mice. When the tumors reached  $\sim$ 5 mm in length, the mice were grouped randomly and treated with vehicle, sorafenib (i.g., 15 mg/kg), regorafenib (i.g., 6 mg/kg), Sarilumab (i.p., 5 mg/kg), or their combination every three days. Tumor volumes were monitored every three days. (d ~ e) When the largest tumor reached 1000 mm<sup>3</sup>, the mice were sacrificed. The tumors were imaged (d) and weighed (e). (f) The body weights of the mice were also monitored every three days. (g) Phosphorylation of STAT3 at tyrosine-705 and the protein expression levels of ATF3, STAT3 and GAPDH were determined by immunoblotting. (h) Slides from PDX tumors were assessed for the phosphorylation of STAT3 and expression of ATF3 and  $\alpha$ -SMA by IHC analysis. Human IL-6 mRNA were detected by RNA scope assay. Of each specimen, five randomly chosen digital snapshots (high-power fields, 400 $\times$ ) were taken. Representative pictures are shown. Quantification of p-STAT3(Y705) and ATF3 positive cells was performed using Image-Pro Plus software. The data are presented as the means  $\pm$  SEM (\*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ; \*\*\*:  $P < 0.001$ ;  $n = 5$ ).

Tocilizumab and Sarilumab on IL-6-induced STAT3 activation in HCC cells. Although both Tocilizumab and Sarilumab blocked the signal transduction from IL-6 to STAT3, the efficacy of Sarilumab was better than that of Tocilizumab, as indicated by STAT3 phosphorylation at tyrosine-705 (Supplementary Figs. S3a and S3b). In line with STAT3 inhibition, Sarilumab largely reversed IL-6 enhanced colony formation in HCC cells under sorafenib or regorafenib treatment (Supplementary Figs. S3c and S3d).

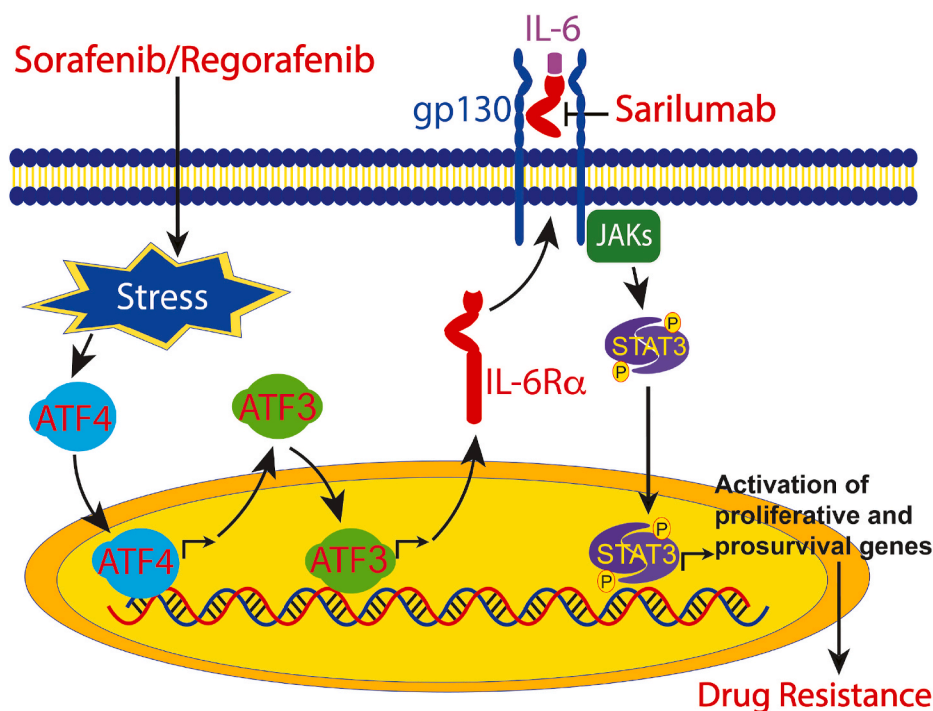
To further explore the effect of Sarilumab on enhancing sorafenib or regorafenib efficacy *in vivo*, we chose a human HCC patient-derived xenograft (PDX) mouse model. The reasons were listed below. Firstly, humanized anti-IL-6R $\alpha$  antibody does not react to mouse IL-6R $\alpha$  and mouse IL-6 does not react to human IL-6R $\alpha$  [36,37]. Therefore, DEN-induced HCC mouse model is not suitable as there is no human IL-6 expressed. Secondly, CDX model lacks an intricate microenvironment, such as human fibroblasts and immune cells which express human IL-6. Thus, CDX is also not a good model to study the efficacy of Sarilumab in treating HCC. Thirdly, PDX has been shown to closely recapitulate clinical responses to treatment [38]. Moreover, RNAscope assay, a novel *in situ* hybridization technology with the probes that specifically recognize human IL-6 but not the mouse one, demonstrated that human IL-6 is expressed in PDX tumors (Fig. 6a). RNAscope and IHC data from matched serial sections indicated that IL-6 could come from, but not limited to,  $\alpha$ -SMA ( $\alpha$ -smooth muscle actin) positive fibroblasts (Fig. 6b). These data together suggested that PDX model is appropriate for the *in vivo* analysis of IL-6R $\alpha$  functions and Sarilumab efficacy in HCC. When the PDX tumors reached 100 mm<sup>3</sup>, the mice were assigned randomly to six groups to receive treatment with vehicle, sorafenib, regorafenib, Sarilumab, combination of sorafenib and Sarilumab, or combination of regorafenib and Sarilumab. Treatment with sorafenib or regorafenib alone by oral gavage suppressed tumor growth, while intraperitoneal injection of Sarilumab alone also mildly delayed tumor growth. However, the combinational treatments almost completely stopped the growth of the HCC PDX tumors (Fig. 6c). Importantly, the weights of the tumors treated with the combination of Sarilumab and sorafenib or regorafenib were less than half of those treated with sorafenib,

regorafenib or Sarilumab alone (Fig. 6d and e). Treatment with sorafenib or regorafenib in the presence or absence of Sarilumab had little effect on the overall body weights of the mice (Fig. 6f). STAT3 phosphorylation at tyrosine-705 was abolished by Sarilumab, while ATF3 was induced by sorafenib or regorafenib, indicated by both immunoblotting and IHC (Fig. 6g and h). By contrast, fibroblast density and human IL-6 expression had no change, indicated by  $\alpha$ -SMA staining and RNAscope, respectively (Fig. 6h). These results demonstrate the striking potency of IL-6R $\alpha$  blockade to synergistically enhance the efficacy of sorafenib and regorafenib in treating HCC.

#### 4. Discussion

According to SHARP and RESORCE data, the overall survival benefits of sorafenib and regorafenib in HCC patients are less than 3 months of additional survival [6,7]. Understanding the underlying mechanism of drug resistance in HCC would help develop novel strategies to improve therapeutic outcome using these drugs. In this study, we found both sorafenib and regorafenib treatment led to induction of IL-6R $\alpha$ , which enhanced the IL-6 induced STAT3 activation and tumor growth in HCC cells. Based on these findings, we hypothesized that blocking IL-6R $\alpha$  using anti-IL-6R $\alpha$  antibodies would sensitize HCC to sorafenib and regorafenib (Fig. 7). Indeed, in our PDX tumor models, Sarilumab greatly enhanced the efficacy of both sorafenib and regorafenib, providing the first preclinical evidence supporting the combination of Sarilumab and sorafenib or regorafenib for patients with advanced HCC to overcome drug resistance.

Anticancer drugs elicit multiple forms of stress, and cancer cells can develop ways to adapt these stresses, which subsequently leads to drug resistance. Sorafenib has been shown to elicit the Nrf2-mediated oxidative stress response and ATF4-mediated ER stress response [33, 39]. Nrf2 activation protects HCC cells from sorafenib-induced ferroptosis through activating metallothionein-1G (MT-1G) [40]. Our current study uncovered a novel role of ATF4, the ER stress mediator, in the activation of the IL-6R $\alpha$ , which enhanced the IL-6-STAT3 pathway to promote cell survival, growth and drug resistance to sorafenib or



**Fig. 7.** Graphical Abstract: ATF3-IL-6R $\alpha$  activation confers sorafenib and regorafenib resistance in HCC, which could be overcome with the IL-6R $\alpha$  antibody Sarilumab.



regorafenib in HCC. These data suggest a mechanistic link between the ATF4-ATF3-mediated stress response and the IL-6 signaling pathway in HCC drug resistance. Moreover, to our best knowledge, the regulatory mechanism for IL-6R $\alpha$  expression remains largely unknown. Here, we demonstrate for the first time that IL-6R $\alpha$  could be transcriptionally activated by xenobiotic stresses through ATF4-ATF3 cascade. ATF3 binds to the promoter of IL-6R $\alpha$  and activates its transcription. In light of the fact that ATF4-ATF3 pathway could be activated by multiple stimuli, IL-6R $\alpha$  up-regulation might play a broad spectrum of roles in drug resistance of HCC cells.

Since Rudolf Virchow proposed the hypothesis that inflammation and cancer are linked in the 19th century, and vast amounts of research supported the notion that inflammation is closely linked with cancer development [41]. Recently, accumulating evidence show that inflammation might also play a key role in chemotherapeutic resistance in multiple types of cancer. The effect of IL-6, one of the mediators of inflammation, on sorafenib resistance in HCC is particularly interesting, since IL-6 can antagonize sorafenib in HCC by activating Ras-Erk and Jak/STAT3 signal pathways, which are targeted and suppressed by sorafenib. Moreover, advanced HCC is usually accompanied with cirrhosis and chronic inflammation. IL-6 could be produced by cancer-associated fibroblast (CAF), hepatic stellate cells (HSCs), senescent hepatocytes [42] and macrophages, such as Kupffer cells [43]. While the involvement of IL-6 in sorafenib resistance was suggested before as a novel strategy to overcome the acquisition of sorafenib resistance in HCC [44,45], however mechanistical insights are still lacking. The present study offers further evidence that sorafenib and regorafenib would activate ATF4-ATF3-IL-6R $\alpha$  pathway, which seems to be a promising targeting axis to improve therapy efficacy in HCC keeping in mind that an FDA-approved IL-6R $\alpha$  antibody already exists.

Although Epstein-Barr virus-induced gene 3 (EBI3) [46] and CD5 [28] have been implicated to mediate IL-6 signaling independent of IL-6R $\alpha$ , our data indicate that IL-6R $\alpha$  is the major receptor for IL-6 in HCC, since IL-6R $\alpha$  depletion completely abolishes IL-6 signaling. Because sIL-6R $\alpha$  can facilitate IL-6 signaling in cells that do not express mIL-6R $\alpha$ , activation of the ATF3-IL-6R $\alpha$  cascade might not only activate HCC cells themselves, but also adjust microenvironment for cancer cells to survive from sorafenib or regorafenib cytotoxicity. On the other sides, Ciliary Neurotrophic Factor (CNTF) [47,48] and p28(IL-27A) [49–51] were implicated to bind to IL-6R $\alpha$ . According to our transcriptome sequencing, the expression levels of p28 were weakly up-regulated by sorafenib treatment (Supplementary Fig. S4). Whether sorafenib induced IL-6R $\alpha$  also enhances sorafenib resistance mediated by this cytokine would be our future work.

In summary, the present study identifies ATF4-ATF3-IL-6R $\alpha$  as a novel cascade, which is activated in response to sorafenib or regorafenib treatment. Induction of IL-6R $\alpha$  enhances IL-6 signaling and thereafter promotes sorafenib and regorafenib resistance in HCC, which could be effectively overcome by IL-6R $\alpha$  antibodies. These findings could also have an immediate and direct impact on HCC patient care given that IL-6R $\alpha$  antibodies, such as Tocilizumab and Sarilumab, have been clinically used to treat patients with rheumatoid arthritis.

#### Author contribution statement

Zichan Dai, Xiaohan Wang and Rangxin Peng performed most of the experiments, analyzed the data; Qi Han, Jie Lin and Jichuang Wang developed HCC PDX models; Binghui Zhang, Junjin Lin, Mingting Jiang, Hekun Liu and Tae Ho Lee provided technique support; Kun Ping Lu provided expert advice on experimental design, data interpretation and revising the manuscript; Min Zheng designed the studies, interpreted the data, and wrote the manuscript with inputs from all authors. There are no conflicts of interest.

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#### Declaration of competing interest

No potential conflicts of interest were disclosed.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.canlet.2021.10.024>.

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